



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Prabhu, et al.

Serial No.: 10/693,683

Confirmation No.: 3235

Filed: October 24, 2003

For: Polishing Processes for
Shallow Trench Isolation
Substrates

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

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§ Group Art Unit: Unknown
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§ Examiner: Unknown
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CERTIFICATE OF MAILING 37 CFR 1.8	
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Date <u>01/27/2004</u>	Signature <u>D. G.</u>

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

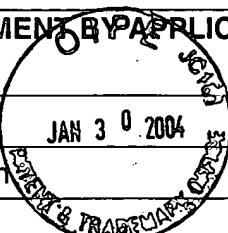
If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No.. 20-0782/AMAT/7985/BKH.

Respectfully submitted,



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U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)					Docket No. AMAT/7985/CMP/C MP/RKK	Serial No. 10/693,683
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant Prabhu, et al.	Confirmation No. 3235
(Use several sheets if necessary) JAN 3 0 2004					Filing Date	Group
	Examiner Unknown				October 24, 2003	Unknown



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*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	6,468,910	10/22/2002	Srinivasan, et al.	438	692	12/08/1999
	A2	6,435,942	08/20/2002	Jin, et al.	451	8	02/11/2000
	A3	6,435,945	08/20/2002	Somekh	451	41	02/10/1999
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	A5	6,316,366	11/13/2001	Kaufman, et al.	438	693	02/14/2000
	A6	6,294,105	09/25/2001	Feeney, et al.	252	79.1	12/23/1997
	A7	6,290,580	09/18/2001	Tanaka, et al.	451	44	09/07/1999
	A8	6,276,996	08/21/2001	Chopra	451	41	11/10/1998
	A9	6,273,786	08/14/2001	Chopra, et al.	451	28	10/20/1999
	A10	6,258,721	07/10/2002	Li, et al.	438	693	12/27/1999
	A11	6,234,875	05/22/2001	Pendergrass, Jr.	451	41	06/09/1999
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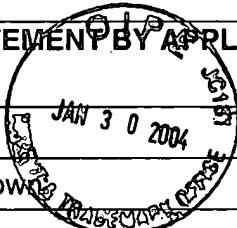
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	B3	2000156360	06/30/1999	JP (English Abs)	H01L	21/3404	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B4	2000068371	03/03/2000	JP	H01L	21/76	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	B9	00/49687	08/24/2000	WO	H01L	21/302	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B10	00/36037	06/22/2000	WO	C09G	01/02	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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	A16	6,204,181	03/20/2001	Molnar	438	690	11/05/1999
	A17	6,193,790	02/27/2001	Tani	106	3	06/04/1999
	A18	6,174,814	01/16/2001	Cook, et al.	438	692	04/25/00
	A19	6,168,508	01/02/2001	Nagahara, et al.	451	527	08/25/1997
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	A21	6,162,112	12/19/2000	Miyazaki, et al.	451	36	06/26/1997
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Foreign Patent Documents

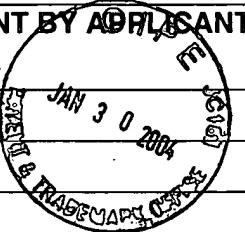
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	B12	03/009349	01/30/2003	WO	H01L	21/3105	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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	C1	Gagliardi, et al. "3M SlurryFree™CMP Fixed Abrasives for Direct HDP STI COMP", Technical Brief, October 2000

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INFORMATION DISCLOSURE STATEMENT BY APRIL SANT					Applicant Prabhu, et al.		Confirmation No. 3235
(Use several sheets if necessary)					Filing Date October 24, 2003		Group Unknown
	Examiner Unknown						
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	A33	6,062,954	05/16/2000	Izumi	451	72	07/24/1998
	A34	6,060,395	05/09/2000	Skrovan, et al.	438	692	08/21/1998
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	A37	6,043,155	03/28/2000	Homma, et al.	438	691	03/03/1998
	A38	6,042,741	03/28/2000	Hosali, et al.	252	79.1	03/10/1998
	A39	6,019,806	02/01/2000	Sees, et al.	51	308	01/08/1998
	A40	6,001,730	12/14/1999	Farkas, et al.	438	627	10/20/1997
	A41	5,996,595	12/07/1999	Olesen, et al.	134	1.3	08/07/1997
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	A47	5,951,724	09/14/1999	Hanawa, et al.	51	309	02/26/1998
	A48	5,951,380	09/14/1999	Kim	451	65	12/19/1997
	A49	5,934,980	08/10/1999	Koos, et al.	451	41	06/09/1997
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	Examiner Unknown	JAN 30 2004 U.S. PATENT AND TRADEMARK OFFICE			October 24, 2003	Unknown

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*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
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	A56	5,876,508	03/02/1999	Wu, et al.	134	2	03/17/1997
	A57	5,867,757	02/02/1999	Okazaki, et al.	399	262	01/23/1998
	A58	5,817,567	10/06/1998	Jang, et al.	438	427	04/07/1997
	A59	5,770,095	06/23/1998	Sasaki, et al.	216	38	07/11/1995
	A60	5,769,689	06/23/1998	Cossaboon, et al.	451	41	02/28/1996
	A61	5,759,917	06/02/1998	Grover, et al.	438	690	12/30/1996
	A62	5,738,800	04/14/1998	Hosali, et al.	216	99	02/19/1997
	A63	5,738,574	04/14/1998	Tolles, et al.	451	288	10/27/1995
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	A70	5,395,801	03/07/1995	Doan, et al.	437	225	09/29/1993
	A71	5,378,251	01/03/1995	Culler, et al.	51	295	09/13/1993
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	A77	4,752,628	06/21/1988	Payne	523	122	05/15/1997
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	A80	2001/0036738	11/01/2001	Hatanaka, et al.	438	693	06/30/1999

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